



OFFICE OF THE CITY CLERK
City and County of Honolulu
Honolulu Hale
530 South King Street, Room 100
Honolulu, Hawaii 96813-3077
Phone: (808) 768-3810

DISCLOSURE OF FINANCIAL INTERESTS
PUBLIC DISCLOSURE FORM

YEAR DISCLOSED: 2020
(PRECEDING CALENDAR YEAR)

(PRINT OR TYPE CLEARLY)

NAME Tommy Waters POSITION/ELECTIVE OFFICE Council Member

DEPARTMENT/AGENCY Honolulu City Council

NAME OF SPOUSE n/a

Check the appropriate box and fill in any applicable dates:

- ☐ **INITIAL STATEMENT:** Date on which you assumed office or began employment in this position _____. You must file within twenty (20) working days after this date disclosing financial interests held during the preceding calendar year.
- ☒ **ANNUAL STATEMENT:** You are required to file not later than January 31 of each year disclosing all financial interests held during the preceding calendar year.
- ☐ **LEAVING OFFICE STATEMENT:** You are leaving your office on _____ and must file a statement within ten (10) working days before leaving office. You must disclose financial interests held during the preceding calendar year.
- ☐ **CANDIDATE STATEMENT:** You must file no later than ten (10) working days after the deadline for filing as a candidate for office disclosing interests held during the calendar year preceding the due date of the statement.

VERIFICATION

I declare that I have used all reasonable diligence in preparing this form, that I have reviewed Item Nos. 1 through 9, and to the best of my knowledge the information provided in this form is true and correct.

Date February 1, 2021

Signature

Tommy Waters

GENERAL INSTRUCTIONS

All questions must be answered in regard to **yourself, your spouse, and all dependent children.**

Use **abbreviations**:

"F" for filer

"SP" for spouse

"DC" for dependent children

"JT" for joint interests of the filer and other partner (i.e. spouse, business partner, etc.)

All items on the form must be completed. If you have no information to disclose under a particular item, check "None." If additional space is required to complete an item, check "Additional sheets attached." Make a copy of your completed financial disclosure form for your records for future reference.

Disclosures need not be made by exact dollar amounts but may be reported by "**range of value.**" You may indicate the value of a reportable interest by using the appropriate **letter code** from the following table:

A - Less than \$1,000	E - \$50,000 - \$99,999	I - \$300,000 - \$399,999	M - \$700,000 - \$799,999
B - \$1,000 - \$9,999	F - \$100,000 - \$149,999	J - \$400,000 - \$499,999	N - \$800,000 - \$899,999
C - \$10,000 - \$24,999	G - \$150,000 - \$199,999	K - \$500,000 - \$599,999	O - \$900,000 - \$999,999
D - \$25,000 - \$49,999	H - \$200,000 - \$299,999	L - \$600,000 - \$699,999	P - At least \$1,000,000

1. **INCOME.** Only report compensation earned for services rendered equal to \$1,000 a year or more from any employment including, but not limited to, income from the City, retirement, social security, and deferred compensation for the preceding calendar year. Do not report interest, dividends, alimony, property settlements, or child support payments. Individual items of compensation that constitute a portion of the gross income of a business or profession need not be disclosed. Report income from rental property here.

☐ None ☐ Additional sheets attached

Recipient	Employer/Source	Position/Service Rendered	Annual Income
F	Honolulu City Council	Council Member	E
F None	Law Office of Thomas Waters	Attorney	D
F None	Dura Constructors	Safety Officer	E
None			None

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2. **CREDITORS.** Only report debts over \$3,000.00 owed during the preceding calendar year. Do not report debts that arise out of retail installment transactions for the purchase of consumer goods, whatever the amount. Do report a secured obligation such as a home mortgage or a car loan. Do report student loans.

☐ None ☐ Additional sheets attached

Person(s) Incurring Debt	Creditor	Original Loan Amount	Amount Outstanding
F	Home Mortgage CUSO	L	L
None		None	None
None		None	None
None		None	None

3. **OWNERSHIP OR INTERESTS IN BUSINESSES IN THE STATE.** Only report ownerships or beneficial interests having a value of \$5,000 or more or equal to 10% of the ownership of the businesses incorporated, regulated, or licensed to carry on business in Hawaii. Do not report accounts in federal or state regulated financial institutions, mutual insurance policies, or individual items in a mutual fund or blind trust, if the fund or trust is disclosed under this item.

☐ None ☐ Additional sheets attached

Owner(s)	Business Name and Address	Nature of Business	Percentage of Interest	Value of Interest
F	Thomas Waters Atty at Law	Law	100	C
None				None
None				None
None				None

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4. **OWNERSHIP OR INTERESTS TRANSFERRED.** Only report transfers of ownerships or interests in businesses incorporated, regulated, or licensed to carry on business in Hawaii during the preceding calendar year valued at \$5,000.00 or more or equal to 10% of the ownership of the business.

☒ None ☐ Additional sheets attached

Owner(s)	Ownership or Interest	Date of Transfer
None		
None		
None		
None		

5. **FIDUCIARY POSITIONS.** Fiduciary positions include, but are not limited to, officerships, directorships, or positions as trustee in any business or organization, whether or not operated for profit. Fiduciary positions also include being a majority shareholder in a small or closely held corporation. Report fiduciary positions in non-profit corporations.

☒ None ☐ Additional sheets attached

Holder	Position	Name and Address of Business or Organization	Term of Office	Annual Compensation
F	Trustee	Kapiolani Park Trust	2020-2021	None
F	member	WBIDA	2020/2021	None
F None	member	WBSIDA	2020-2021	None
F None	member	WIA and WTMA	2020-2021	None

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6. **REAL PROPERTY OWNED.** Only report real property owned in the City and County of Honolulu if person's interest is \$10,000 or more. Report the value of the property in any reasonable manner, such as assessed value. You are not required to report the tax map key number and street address for your personal residence or your spouse or dependent children; if you choose not to report the tax map key number and street address, identify instead as "**personal residence.**"

☐ None ☐ Additional sheets attached

Owner(s)	Tax Map Key Number or "personal residence"	Street Address or "personal residence"	Value	Year Obtained
F	Personal Residence	Personal Residence	M	2020
None			None	
None			None	
None			None	

7. **REAL PROPERTY TRANSFERRED.** Only report real property transferred in the City and County of Honolulu during the preceding calendar year valued at \$10,000.00 or more. Indicate the actual amount of the transaction, even if it is less than the value (as in the case of a gift). You are not required to report the tax map key number and street address for your personal residence or your spouse or dependent children; if you choose not to report the tax map key number and street address, identify instead as "**personal residence.**"

☐ None ☐ Additional sheets attached

Seller/Donor	Buyer/Donee	Price	Tax Map Key Number	Street Address
Thomas Waters	Michael Schwartz	K	1-3-5-017-004-0177	4300 Waialae Ave
		None		
		None		
		None		

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8. CREDITOR INTERESTS IN INSOLVENT BUSINESS worth \$5,000 or more.

☒ None ☐ Additional sheets attached

Holder	Name and Address of Business	Nature of Business	Value
None			None
None			None
None			None
None			None

9. CLIENTS PERSONALLY REPRESENTED BEFORE CITY AGENCIES. Only report representation for which you have received compensation during the preceding calendar year. Do not report representation involving ministerial matters. "Ministerial matters" do not require discretionary authority and do not need to be disclosed.

☒ None ☐ Additional sheets attached

Representative	Client	City Agency	Nature of Representation
None			
None			
None			
None			